


PTO/SB/66 (03-03)  
 Approved for use through 03/31/2012 OMB 0551-0016  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PETITION TO ACCEPT UNINTENTIONALLY DELAYED PAYMENT OF MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378 (c))</b>		Docket Number (Optional)
Mail to: Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Fax: (571) 273-8300		
NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282		
Patent No. <u>6,414,455</u>	Application Number <u>08/542,032</u>	
Issue Date <u>July 2, 2002</u>	Filing Date <u>April 3, 2000</u>	
CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).		
Also complete the following information, if applicable		
The above - identified patent		
<input type="checkbox"/>	Is a reissue of original Patent No. _____ original issue date _____	
	original application number _____	
	original filing date _____	
<input type="checkbox"/>	resulted from the entry into the U.S. under 35 U.S.C. 371 of international application _____	
	filed on _____	
<b>CERTIFICATE OF MAILING (37 CFR 1.89(a))</b> I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.  <div style="display: flex; justify-content: space-between;"> <div> <u>3-18-11</u>            Date         </div> <div>             Signature         </div> </div> <div style="display: flex; justify-content: space-between;"> <div>Alvin Watson</div> <div>Typed or Printed Name of Person Signing Certificate</div> </div>		

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This collection of information is required by 37 CFR 1.378(c). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2

12/14/2011 CKHLOK 00000007 6414455

01 FC:2552  
 05/28/2011 date: 12/14/2011 CKHLOK 1240.00 OP  
 05/28/2011 DALLIN 00000000 64144550.00 OP  
 01 FC:1599 -2880.00 OP

PTO/SB/66 (09-09)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## 1 SMALL ENTITY

☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27.

## 2 LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g).

## 3 MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input type="checkbox"/> \$ _____	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input checked="" type="checkbox"/> \$ 1240	7 ½ yr fee	(2552)
<input type="checkbox"/> \$ _____	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 1240

## 4 SURCHARGE

The surcharge required by 37 CFR 1.20(l)(2) of \$ 1640 (Fee Code 1558) must be paid as a condition of accepting unintentionally delayed payment of a maintenance fee.

SURCHARGE FEE BEING SUBMITTED \$ 1640

## 5 MANNER OF PAYMENT

☒ Enclosed is a check for the sum of \$ 2880.00☐ Please charge Deposit Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_☐ Payment by credit card. Form PTO-2038 is attached.

## 6 AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐ The Director is hereby authorized to charge any maintenance fee, surcharge or petition deficiency to Deposit Account No. \_\_\_\_\_

PTO/SB/66 (03-09)

Approved for use through 02/31/2012. OMB 0631-0015

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## 7. OVERPAYMENT

As to any overpayment made please

OR

☐

Credit to Deposit Account No. \_\_\_\_\_

☐

Send refund check

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

## 8. STATEMENT

The delay in payment of the maintenance fee to this patent was unintentional

## 9. PETITIONER(S) REQUEST THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED

Signature(s) of Petitioner(s)

Date

Alvin Watson

Typed or printed name(s)

Registration Number, if applicable

(702)375-2838

Telephone Number

3706 Pine Stream Drive, Pearland, Texas 77581

Address

Address

37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."

## ENCLOSURES

☒

Maintenance Fee Payment

☒

Surcharge under 37 CFR 1.20(i)(2) (fee for filing the maintenance fee petition)

☐

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**Cochell Law Firm**  
Stephen Cochell

**FACSIMILE**

**TO:** April, USPTO Petitions Department (571) 273-1642

**FROM:** Stephen R. Cochell

**DATE:** December 8, 2011

**RE:** Petition for Unintentionally Delayed Payment of Maintenance Fees

**Number of Pages:** 3 including the coversheet.

**Comments:** Here is another copy of the Petition and my letter of December 1, 2011. Thank you !

**Cochell Law Firm**

**Stephen Cochell**

December 1, 2011

**Facsimile (571)273-8300**

Attention: Petitions  
Commissioner for Patents  
P.O. Box 1460  
Alexandria VA 22313-1450

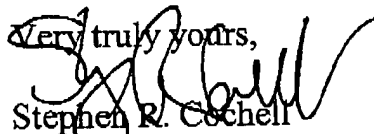
Re: Patent 6,414,455  
App. No. 09/542,032  
Name: System and method for variable drive pump control  
Inventor: Alvin Watson

To whom it may concern:

This is to request that you expeditiously review and approve the attached Petition to Accept Unintentionally Delayed Payment of Maintenance Fee in an Expired Patent.

This petition was mailed on March 18, 2011, and the reinstatement fee of \$1640 was cashed by the Patent Office. We assume the petition was approved. In talking today with April, of your office, she advised that we send a copy of this application to ensure that Mr. Watson's patent is reinstated without delay.

If you have any questions, please do not hesitate to contact me.

Very truly yours,  
  
Stephen R. Cochell  
7026 Old Katy Rd., Ste 259  
Houston, Texas 77024  
(713)980-8796